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Attorney Docket No.: 42P13949

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	<b>\</b>		
Babbitt et al.	)	Examiner:	Juba Jr., John
Application No.: 09/663,964	)	Art Unit:	2872
Filed: September 19, 2000	)		
For: APPARATUS AND METHODS FOR ROUTING OF OPTICAL BEAMS VIA TIME-DOMAIN SPATIAL-SPECTRAL FILTERING	)		

Mail Stop Non-Fee Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## SUPPLEMENTAL AMENDMENT UNDER 37 C.F.R. § 1.111

## Sir/Madam:

In response to the telephonic interview conducted with the Examiner on March 16, 2004, Applicants respectfully request the Examiner to enter the following amendments and consider the following remarks. These amendments and comments are in addition to the Response and Amendment mailed to the Examiner with an RCE on January 14, 2004.



RECEIVED Group 2870 Facsimile March 19, 2004

Attomey's	Docket No.:	42P139	949								Patent
In re the A	pplication of:	_Babbit	t et al.		(:	nventor(s					
Applicatio	n No.: <u>09/6</u>	63,964			(1 ——						
Filed:	September 19,	2000					<del></del>				
For:	<u>APPARATUS</u>	AND M	ETHODS FOR	<u>ROUTING</u>	<u>OF</u>	OPTICA	L BEAMS VI	<u>A T</u>	IME-DO	MAIN	SPATIA
SPECTRA	AL FILTERIN	G									
COMMIS P.O. Box	Non-Fee Ame SIONER FOR 1450 a, Virginia 223	PATEN		(titl	.e)						
SIR: Tran	asmitted herew Applicant cla No additions	ims smal	esponse and An l entity status. S equired.	nendment fo See 37 CFR	1.27	above-ro	eferenced appl	licati	ion. 		
The fee ha	as been calcula (Col. 1)	ted as sho	(Col. 2)	(Col. 3)		SMAL	L ENTITY		OTHE SMAL		
	Claims Remaining After Amd.		Highest No. Previously Paid For	Present Extra		Rate	Additional Fee		Rate		tional ee
Total Claims	* 16	Minus	** 21	0		Х9	\$		X18	\$	0
Indep. Claims	<b>*</b> 4	Minus	*** 4	0		X43	\$		X86	\$	0
First Presentation of Multiple +145 \$ +290 \$											
* If the entry in Col. 1 is less than the entry In Col. 2, write "0" in Col. 3.  Total Add. Fee \$ Add. Fee							0				
** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.  *** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest No. Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.											
			CER	TIFICATE	OF 1	TRANSM	<u>ISSION</u>				
I	hereby certify t	hat this co	rrespondence is b	eing facsimi	le tra	nsmitted t	o the U.S. Pater	nt and	d Tradema	rk Offi	ce on:
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	(Sign	ature of pe	rson transmitting	paper)			-				

(09/30/03)

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A check in the amount of \$	is attached for preser	etation of additional claim(s).
Applicant(s) hereby Petition(s) for an	Extension of Time of	month(s) pursuant to
37 CFR § 1.136(a).		•
A check for \$ is atta	ached for processing fees under	37 C.F.R. § 1.17.
Please charge my Deposit Account N	o. 02-2666 the amount of \$	·
A duplicate copy of this sheet is end	closed.	
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Patent and Trademark Office is hereb	by authorized to charge paymen	t of the following tees associated
with this communication or credit any	y overpayment to Deposit Acco	unt No. <u>02-2666</u> (a duplicate copy
of this sheet is enclosed):		
X Any additional filing fe	es required under 37 C.F.R. § 1	.16 for presentation of
extra claims.		
X Any extension or petitic	on fees under 37 C.F.R. § 1.17.	
	BLAKELY SOF	KOLOFF TAYLOR & ZAFMAN LLP
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11. 10 19 ) 46	10,000	
Date: March 19, 2004	Constant Classes	
•	Cory 6. Claasse	
·	Reg. No. 50,296	)
		,
12400 Wilshire Boulevard		
Seventh Floor		
Los Angeles California 90025		

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Attorney's	Docket No.:	42P139	149								Patent
In re the Application of: Babbitt et al. (inventor(s))											
Application No.: 09/663,964											
Filed: September 19, 2000											
For: APPARATUS AND METHODS FOR ROUTING OF OPTICAL BEAMS VIA TIME-DOMAIN SPATIAL											
SPECTRAL FILTERING											
(title)  Mail Stop Non-Fee Amendment  COMMISSIONER FOR PATENTS  P.O. Box 1450  Alexandria, Virginia 22313-1450											
SIR: Transmitted herewith is a Response and Amendment for the above-referenced application.  Applicant claims small entity status. See 37 CFR 1.27.  XX No additional fee is required.											
The fee has been calculated as shown below:  (Col. 1) (Col. 2) (Col. 3) SMALL ENTITY OTHER THAN A SMALL ENTITY											
	Claims Remaining After Amd.		Highes Previo Paid	t No. usly	Present Extra	5	Rate	Additional Fee		Rate	Additional Fee
Total Claims	* 16	Minus	**	21	0		X9	\$		X18	\$ 0
Indep. Claims	* 4	Minus	***	4	0		X43	\$		X86	\$ 0
First Presentation of Multiple +145 \$ +290 \$											
* If the entry in Col. 1 is less than the entry In Col. 2, write "0" in Col. 3.  ** If the "Highest No. Previously Paid For" IN THIS  ** If the "Highest No. Previously Paid For" IN THIS							\$ 0				
SPACE is less than 20, write "20" in this space.  *** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest No. Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.											
			-	CER	TIFICATE	OF 1	<u> </u>	<u>ISSION</u>			
. 1	I hereby certify	that this co	rresponde	nce is t	eing facsimi	le tra	nsmitted t	o the U.S. Pate	nt an	d Tradema	irk Office on:
Date of Transmission March 19, 2004											
Adrian Villarreal (Typed or printed name of person transmitting paper)											
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March 19, 2004

	A check in the amount of \$ is attached for presentation of additional claim(s).
	A check in the amount of 3 is attached to present the month(s) pursuant to  Applicant(s) hereby Petition(s) for an Extension of Time of month(s) pursuant to
	37 C.F.R. § 1.136(a).
	A check for \$ is attached for processing fees under 37 C.F.R. § 1.17.
	Please charge my Deposit Account No. 02-2666 the amount of \$
	A duplicate copy of this sheet is enclosed.
<u>X</u>	The Under Secretary of Commerce for Intellectual Property and Director of the United States
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	with this communication or credit any overpayment to Deposit Account No. 02-2666 (a duplicate copy
	of this sheet is enclosed):
	X Any additional filing fees required under 37 C.F.R. § 1.16 for presentation of
	extra claims.
	X Any extension or petition fees under 37 C.F.R. § 1.17.
Date: <u>M</u>	BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP  Cory G. Claassen  Reg. No. 50,296
Seventh !	es, California 90025

BLAKELY SOKOLOFF TAYLOR & ZAFMAN

A LIMITED LIABILITY PARTNERSHIP INCLUDING LAW CORPORATIONS Two Union Square 601 Union Street, Suite 3000 Seattle, Washington 98101-1365

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Fax Number: <u>1-571-273-2314</u>	Telephone No.:
From: Cory G. Claassen	
Date: March 19, 2004	Time: 4pm (pst)
Operator: <u>Adrian Villarreal</u>	
Number of pages including cover sheet	
In Re Patent Application of: <u>Babbitt et a</u>	al
A 11 41 N - 4 00/000 004	
Filed: September 19, 2000	
For: APPARATUS AND METHODS FOR	R ROUTING OF OPTICAL BEAMS VIA TIME-
DOMAIN SPATIAL-SPECTRAL FILTERIN	
Enclosed are the following documents:	
Transmittal Documents (in duplicate)	
Supplemental Amendment (8 pages)	
	OF TRANSMISSION
I hereby certify that this correspondence is being fac	csimile transmitted to the U.S. Patent and Trademark Office on:
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Adrian Villarreal	
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05/01/03